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09/867,563	05/31/2001	Tsu Shih	TS00-151	7575

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EXAMINER

CHEN, KIN CHAN

ART UNIT	PAPER NUMBER
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1765

DATE MAILED: 05/14/2002

4

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/867,563

Applicant(s) mk - 4

SHIH, TSU

Examiner

Kin-Chan Chen

Art Unit

1765

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-27 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☒ Claim(s) 1-27 is/are rejected.
- 7) ☒ Claim(s) 10, 13, 23, 24 and 27 is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on ____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on ____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. ____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 3.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). ____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: ____.

Art Unit: 1765

DETAILED ACTION

Claim Objections

1. Claims 10, 13, 23, 24, and 27 are objected.

In claims 10, 13, 24, and 27, line 3, the examiner suggests deleting "(CMP)" because it might have several meanings.

In claim 23, line 1, The method of claim "1" appears to be a typographic error, The method of claim "1" should be the method of claim --14—. For the patent examining purpose, the examiner assumes that it is **the method of claim 14**.

Appropriate correction is required.

Claim Rejections - 35 USC § 112

2. Claims 9 and 23 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Regarding claims 9 and 23, the phrase "such as" renders the claim indefinite because it is unclear whether the limitations following the phrase are part of the claimed invention. See MPEP § 2173.05(d).

Claims 9 and 23 contain the trademark/trade name SiLK and FLARE. Where a trademark or trade name is used in a claim as a limitation to identify or describe a

particular material or product, the claim does not comply with the requirements of 35 U.S.C. 112, second paragraph. See *Ex parte Simpson*, 218 USPQ 1020 (Bd. App. 1982). The claim scope is uncertain since the trademark or trade name cannot be used properly to identify any particular material or product. A trademark or trade name is used to identify a source of goods, and not the goods themselves. Thus, a trademark or trade name does not identify or describe the goods associated with the trademark or trade name. In the present case, the trademark/trade name is used to identify/describe a particular material and, accordingly, the identification/description is indefinite.

Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 1, 2, 4, 5, 7, 10, 11, and 13 are rejected under 35 U.S.C. 102(b) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as obvious over Lin et al. (US 6,042,999).

In a method for forming a dual damascene structure and protecting the substrate from damage caused by multiple etchings, Lin teaches (col. 4, line 41 through col. 6, line 30) providing a substrate having first and second insulative layers, separated from each other by an intervening etch-stop layer formed thereon (Fig. 2a); forming a hole opening through the first and second insulative layers (Fig. 2b); forming a fill material over the substrate, including in the hole opening (Fig. 2C); removing any excess fill material over the hole opening (Fig. 2d); forming a trench opening in the second insulative layer over the hole opening in the first insulative layer, thus completing the forming of the dual damascene structure on the substrate (Fig. 2e); removing the fill material from the hole opening (Fig. 2f); depositing metal in the dual damascene structure and removing excess metal to complete the forming of the dual damascene (Figs 2g and 2h).

Lin teaches that performing the above process steps would protect the surface of the substrate from damage. The instant claims differ from Lin by specifying eliminating (without) volcano effect in dual damascene, however, since Lin teaches the method claimed, the claimed property and function (such as eliminating volcano effect) is considered to be inherent.

As to dependent claim 2, Lin teaches silicon substrate (col. 4, line 42).

Art Unit: 1765

As to dependent claim 4, Lin teaches the thickness which overlaps the claimed range (col.5, line 9).

As to dependent claim 5, Lin teaches silicon nitride (col. 5, lines 10-11).

As to dependent claim 7, Lin teaches the thickness which overlaps the claimed range (col.5, line 9).

As to dependent claim 10, Lin teaches that the excess fill material may be removed by etching (col. 5, lines 62-67).

As to dependent claim 11, Lin teaches that metal may comprises copper (col. 7, lines 25-27).

As to dependent claim 13, Lin teaches excess metal may be removed by chemical mechanical polishing (col. 7, lines 25-30).

6. Claim 12 is rejected under 35 U.S.C. 103(a) as being unpatentable over Lin et al. (US 6,042,999).

The discussion of Lin et al. (US 6,042,999) from above is repeated here.

Lin does not disclose the metal thickness used in his dual damascene process. Dependent claim 12 differs from Lin by specifying the thickness of metal may be between 1000 to 15000 A. However, the thickness of deposited metal is merely a matter of choice of design depending on product requirement. Hence, it would have been obvious to one with ordinary skill in the art to deposit the suitable thickness for the metal in order to meet the product requirement and produce an expected result.

Art Unit: 1765

7. Claims 3 and 6 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lin et al. (US 6,042,999) as applied to claim 1 above, and further in view of Tsai et al. (US 6,326,296 B1).

The discussion of Lin et al. (US 6,042,999) from above is repeated here.

Lin teaches that the dielectric layers (so-called the first insulative layer in instant claim 3; the second insulative layer in instant claim 6) may be materials, which are known in the art and not limited to silicon oxide materials. Therefore, the materials may include low-k dielectric because it is one of the most popular dielectric materials used in the art of semiconductor device fabrication. Tsai is only relied on to show this low-k dielectric material (col. 5, lines 38-40). In addition, it is well known in the art that low-k dielectric material typically has a dielectric constant between about 2.5 to 3.5. Because low-k dielectric material is a well-known feature in the art, hence, it would be obvious to one skilled in the art to modify Lin by using said material as disclosed by Tsai in order to provide their art recognized advantages and thus produce an expected result.

8. Claims 8 and 9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lin et al. (US 6,042,999) as applied to claim 1 above, and further in view of Wang et al. (US 6,057,239).

The discussion of Lin et al. (US 6,042,999) from above is repeated here.

Lin teaches fill material may be ARC, BARC, or organic BARC (col. 5, lines 36-38, 53-56). Therefore, it includes I-line PR (photo-resist) and spin-on organic dielectric

such as SiLK or FLARE because they are well-known ARC. Wang is relied on to teach this well-known feature. In a method of dual damascene process using sacrificial spin-on materials, Wang teaches a sacrificial layer of spin-on material, an anti-reflective coating (ARC) material such as BARLi (I-line photo-resist) or low k material such as FLARE (col. 3, lines 9-14), may be used for protecting the contact hole profile during the damascene etch process (col.1, lines 10-11). Hence, it would have been obvious to one with ordinary skill in the art to use said material as taught by Wang in the process of Lin in order to protect the contact hole profile during the damascene etch process.

9. Claims 14-16, 18, 19, 21, and 24-27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lin et al. (US 6,042,999) in view of admitted prior art.

In a method for forming a dual damascene structure and protecting the substrate from damage caused by multiple etchings, Lin teaches (col. 4, line 41 through col. 6, line 30) forming a first insulative layer on the substrate, forming an etch-stop layer over the first insulative layer, and forming a second insulative layer over the etch-stop layer; forming a first photoresist layer over the second insulative layer and patterning the photoresist to form a first photoresist mask having a hole pattern(Fig. 2a); etching the first and second insulative layer including the etch-stop layer through the hole pattern to form a hole reaching the substrate and removing the first photoresist mask(Fig. 2b); forming a fill material over the substrate, including in the hole opening(Fig. 2C); removing any excess fill material over the hole opening,(Fig. 2d); forming a second photoresist layer over the substrate including the hole opening and patterning the

Art Unit: 1765

second photoresist to form a second photoresist mask having a trench pattern (Fig. 2C); etching the second insulative layer through the trench pattern in the second photoresist mask to form a trench in the second insulative layer, thus completing the forming of the dual damascene structure in the substrate and removing the second photoresist mask (Fig. 2e); removing the fill material from the hole opening (Fig. 2f); depositing metal in the dual damascene structure and removing excess metal to complete the forming of the dual damascene (Figs 2g and 2h).

Lin teaches that performing the above process steps would protect the surface of the substrate from damage. The instant claims differ from Lin by specifying eliminating (without) volcano effect in dual damascene, however, since Lin teaches the method claimed, the claimed property and function (such as eliminating volcano effect) is considered to be inherent.

Lin teaches that substrate may include substructure with metal layers (col. 4, lines 49-50). Therefore, it may include a metal layer with passivation layer formed thereon because it is a common substructure in the art of semiconductor device fabrication. The admitted prior art is relied on to teach this well-known substructure. The admitted prior art teaches that the substrate is provided with metal layer and barrier layer (so-called passivation layer in the instant claim 14). The metal layer may be copper (instant claim 16). See the specification, page 4, lines 13-16. Hence, it would have been obvious to one with ordinary skill in the art to modify Lin by using this well-known substructure as taught by admitted prior art in order to provide their art recognized advantages and produce an expected result.

As to dependent claim 15, Lin teaches silicon substrate (col. 4, line 42).

As to dependent claim 16, the admitted prior art is relied on for the same reasons as stated, supra.

As to dependent claim 18, Lin teaches the thickness which overlaps the claimed range (col.5, line 9).

As to dependent claim 19, Lin teaches silicon nitride (col. 5, lines 10-11).

As to dependent claim 21, Lin teaches the thickness which overlaps the claimed range (col.5, line 9).

Dependent claim 24 differs from Lin and admitted prior art by specifying removing the excess fill material by chemical mechanical polishing (CMP). However, it is a conventional process in the art of semiconductor device fabrication to remove the excess fill material by chemical mechanical polishing. Hence, it would have been obvious to one with ordinary skill in the art to modify the combined prior art by using the said conventional CMP to remove the excess fill material in order to provide their art recognized advantages and produce an expected result.

As to dependent claim 25, Lin teaches that metal may comprises copper (col. 7, lines 25-27).

As to dependent claim 26, Lin and admitted prior art do not disclose the second metal thickness used in his dual damascene process. Dependent claim 26 differs from Lin and admitted prior art by specifying the thickness of the second metal may be between 1000 to 15000 A. However, the thickness of deposited metal is merely a matter of choice of design depending on product requirement. Hence, it would have been

Art Unit: 1765

obvious to one with ordinary skill in the art to deposit the suitable thickness for the metal in order to meet the product requirement and produce an expected result.

As to dependent claim 27, Lin teaches excess metal may be removed by chemical mechanical polishing (col. 7, lines 25-30).

10. Claims 17 and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lin et al. (US 6,042,999) and admitted prior art as applied to claim 14 above, and further in view of Tsai et al. (US 6,326,296 B1).

The discussion of Lin and admitted prior art from above is repeated here.

Lin teaches that the dielectric layers (so-called the first insulative layer in instant claim 17; the second insulative layer in instant claim 20) may be materials, which are known in the art and not limited to silicon oxide materials. Therefore, materials may include low-k dielectric because it is one of the most popular dielectric materials used in the art of semiconductor device fabrication. Tsai is only relied on to show this low-k dielectric material as an insulating layer(col. 5, lines 38-40). In addition, it is well known in the art that low-k dielectric material typically has a dielectric constant between about 2.5 to 3.5. Because low-k dielectric material is a well-known feature in the art, hence, it would be obvious to one skilled in the art to modify Lin and admitted prior art by using said material as disclosed by Tsai in order to provide their art recognized advantages and thus produce an expected result.

Art Unit: 1765

11. Claims 22 and 23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lin et al. (US 6,042,999) and admitted prior art as applied to claim 14 above, and further in view of Wang et al. (US 6,057,239).

The discussion of Lin and admitted prior art from above is repeated here.

Lin teaches fill material may be ARC, BARC, or organic BARC (col. 5, lines 36-38, 53-56). Therefore, it includes I-line PR (photo-resist) and spin-on organic dielectric such as SiLK or FLARE because they are well-known ARC. Wang is relied on to teach this well-known feature. In a method of dual damascene process using sacrificial spin-on materials, Wang teaches a sacrificial layer of spin-on material, an anti-reflective coating (ARC) material such as BARLi (I-line photo-resist) or low-k material-such-as-FLARE (col. 3, lines 9-14), may be used for protecting the contact hole profile during the damascene etch process (col.1, lines 10-11). Hence, it would have been obvious to one with ordinary skill in the art to use said material as taught by Wang in the process of Lin and admitted prior in order to protect the contact hole profile during the damascene etch process.

12. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kin-Chan Chen whose telephone number is (703) 305-0222. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Benjamin Utech can be reached on (703) 308-3836. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 872-9310 for regular communications and (703) 872-9311 for After Final

Application/Control Number: 09/867,563

Page 12

Art Unit: 1765

communications. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-2934.

K-C C
May 9, 2002

Kin - Chen CHEN

Patent Examiner
Group Art Unit 1765